

JC07 Rec'd PCT/PTO 27 FEB 2002

FORM PTO-1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE (MODIFIED)		ATTORNEY'S DOCKET NUMBER <b>X-12968</b>
<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371</b>		U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.5) <b>10/070130</b>
INTERNATIONAL APPLICATION NO. <b>PCT/US00/20824</b>	INTERNATIONAL FILING DATE <b>14 September 2000 (14.09.00)</b>	PRIORITY DATE CLAIMED <b>29 September 1999 (29.09.99)</b>
TITLE OF INVENTION: <b>PIPERIDINE DERIVATIVES AS REUPTAKE INHIBITORS</b>		
APPLICANT(S) FOR DO/EO/US: <b>Daniel Timothy Kohlman, Sidney Xi Liang and Yao-Chang Xu</b>		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
1.	<input checked="" type="checkbox"/>	This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.
2.	<input type="checkbox"/>	This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.
3.	<input type="checkbox"/>	This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4.	<input checked="" type="checkbox"/>	A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5.	<input checked="" type="checkbox"/>	A copy of the International Application as filed (35 U.S.C. 371(c)(2))
	a.	<input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).
	b.	<input type="checkbox"/> has been transmitted by the International Bureau.
	c.	<input checked="" type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).
6.	<input type="checkbox"/>	A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7.	<input checked="" type="checkbox"/>	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
	a.	<input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).
	b.	<input type="checkbox"/> have been transmitted by the International Bureau.
	c.	<input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.
	d.	<input checked="" type="checkbox"/> have not been made and will not be made.
8.	<input type="checkbox"/>	A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9.	<input checked="" type="checkbox"/>	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10.	<input checked="" type="checkbox"/>	A copy of the International Preliminary Examination Report (IPER), including any annexes, and, if not in English, an English language translation of the annexes to the IPER under PCT Article 36 (35 U.S.C. 371(c)(5)).
<b>Items 11. to 16. below concern document(s) or information included:</b>		
11.	<input type="checkbox"/>	An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12.	<input checked="" type="checkbox"/>	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13.	<input checked="" type="checkbox"/>	A <b>FIRST</b> preliminary amendment.
	<input type="checkbox"/>	A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.
14.	<input type="checkbox"/>	A substitute specification.
15.	<input type="checkbox"/>	A change of power of attorney and/or address letter.
16.	<input type="checkbox"/>	Other items or information:

U.S. APPLICATION NO. (if known, see 37 CFR 1.53) <b>10/070130</b>	INTERNATIONAL APPLICATION NO. <b>PCT/US00/20824</b>	ATTORNEY'S DOCKET NUMBER <b>X-12968</b>
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17. ☒ The following fees are submitted:

**BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):**  
 Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO. **\$1040.00**

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... **\$890.00**

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO..... **\$750.00**

International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... **\$710.00**

International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) ..... **\$100.00**

**CALCULATIONS PTO USE ONLY**

**ENTER APPROPRIATE BASIC FEE AMOUNT =**

**\$ 890.00**

Surcharge of **\$130.00** for furnishing the oath or declaration later than   20     30   months from the earliest claimed priority date (37 CFR 1.492(e)).

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	20 -20=	0	X \$18.00	\$	
Independent claims	5 -3=	2	X \$84.00	\$	<b>168.00</b>
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$280.00	\$	

**TOTAL OF ABOVE CALCULATIONS =**

**\$ 168.00**

Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).

**SUBTOTAL =**

**\$ 1,058.00**

Processing fee of **\$130.00** for furnishing English translation later than   20     30   months from the earliest claimed priority date (37 CFR 1.492(f)).

**TOTAL NATIONAL FEE =**

**\$ 1,058.00**

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31).  
**\$40.00 per property**

\$

**TOTAL FEES ENCLOSED =**

**\$ 1,058.00**

Amount to be refunded

\$

charged

\$

- a. ☐ A check in the amount of \$\_\_\_\_\_ to cover the above fees is enclosed.
- b. ☒ Please charge my Deposit Account No. 05-0840 in the amount of **\$1,058.00** to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 05-0840. A duplicate copy of this sheet is enclosed.

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

**SEND ALL CORRESPONDENCE TO:**  
**ELI LILLY AND COMPANY**  
 PATENT DIVISION/JCD  
 LILLY CORPORATE CENTER  
 INDIANAPOLIS, IN 46285

**Feb 19, 2002**  
 DATE

**30,466**

**REGISTRATION NUMBER**

*Charles T. Joyner*  
 SIGNATURE

**Charles T. Joyner**  
 NAME

**(919) 314-4294**  
 TELEPHONE NUMBER



**25885**

PATENT TRADEMARK OFFICE

10/070130

JG19 2d PCT/PTO 27 FEB 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Daniel Timothy Kohlman  
Sidney Xi Liang  
Yao-Chang Xu

International Application No.: PCT/US00/20824

Filed: 14 September 2000 (14.09.00)

Invention Title: PIPERIDINE DERIVATIVES AS REUPTAKE INHIBITORS

Lilly Reference: X-12968

Earliest Priority Date: 29 September 1999 (29.09.99)

**Certificate Under 37 C.F.R. § 1.10**

Attention: DO/EO

Box PCT

Assistant Commissioner for Patents

Washington, D.C. 20231

Sir/Madam:

"Express Mail" mailing label number: EL 230530104 US

Date of Deposit: FEB. 27, 2002

I hereby certify that the following attached paper or fee.

Transmittal Letter to the United States Designated/Elected Office (US) concerning a filing under 35 U.S.C. 371 of the International Application identified above is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202-0327.

Bruce N. Thomas  
(Typed or printed name of person mailing paper)

Bruce N. Thomas  
(Signature of person mailing paper or fee)